

Purpose: To establish a student-athlete complimentary ticket request process that allows SDA and the Athletics Compliance Office to review and approve recipient lists and to monitor ticket distribution to assess compliance with NCAA and ASU rules and regulations.

Policy:

- 1. Scope This policy and process covers student-athlete guest complimentary admissions for student-athletes' own competitions (as opposed to student-athlete complimentary admissions to other sports' competitions).
- 2. Rules and regulations Student-athletes will be provided complimentary admissions only as permitted by NCAA, Pac-12, and ASU rules and regulations.
- 3. Compliance software system Student-athletes are required to request guest complimentary admission through its compliance software system (which may require student-athletes to provide general information about guests).
- 4. Complimentary admissions review The Compliance Office will review student-athlete guest complimentary admissions and may monitor distribution of these admissions in person depending on a variety of factors, including, but not limited to:
 - a. Risk factors associated with the requesting student-athlete including, among other things:
 - i. The student-athlete's sport (e.g., booster support, prevalence of agents);
 - ii. Whether the student-athlete is "elite" as that term is defined by the Compliance Office's <u>Elite Student-Athlete Program</u>;
 - iii. Issues associated with the request process, including, among other things, whether the student-athlete has completed all parts of this process and whether the student-athlete or his or her guests previously have had or caused issues relating to complimentary admissions;
 - b. Risk factors associated with the competition, including, among other things:
 - i. The sport;
 - ii. The opponent (e.g., whether the opponent is a traditional rival, highly ranked, or nationally renowned);
 - iii. The time of season (e.g., regular season or postseason); and

- iv. Other factors related to the competition or team (e.g., the team's season record, national rank); and
- v. Risk factors associated with a specific guest, including, among other things, the guest's relationship to the student-athlete and the length of that relationship as reported by the student-athlete, the guest's profession (e.g., agent or service provider such as barber or tattoo artist), or the guest's relationship to professional athletics.
- 5. Additional review steps The Compliance Office may also take additional steps in reviewing and approving student-athlete guests, such as:
 - a. Requiring student-athletes provide additional guest information outside the compliance software system (e.g., explanation provided by email, in-person);
 - b. Researching guests online;
 - c. Calling, emailing, or otherwise contacting guests to verify the relationship with the student-athlete or request additional information; or
 - d. Taking other reasonable actions, at the Compliance Office's discretion, to determine if providing complimentary admissions to the guest is permissible under this policy.
- 6. Review and request submission deadlines
 - a. The Compliance Office will:
 - i. Review complimentary admissions and assist with the process as described below during normal business hours; and
 - ii. At its discretion, be available to review complimentary admissions and provide related assistance outside normal business hours.
 - b. The Ticket Office will set final deadlines for guest complimentary admissions request submissions.
- 7. Denials The Compliance Office may deny guest admissions requests if:
 - a. The Compliance Office is unable to conduct an adequate review of the guest (e.g., requests submitted untimely or with incomplete information);
 - b. The guest is an "athlete agent" as that term is defined under the <u>Athlete Agent</u> policy;
 - c. The student-athletes or guests fail to follow this policy or process (e.g., the student-athlete does not fully complete the process or the guest fails to provide adequate identification); or

- d. Denial of admissions is otherwise necessary to remain in compliance with NCAA, Pac-12, and ASU rules and regulations.
- 8. Reservation of rights SDA reserves the right, for any reason and even with Compliance Office approval, to deny student-athlete guest complimentary admissions that otherwise might be deemed consistent with this policy and process and applicable NCAA and Pac-12 legislation and ASU policy.
- 9. Deviation To the extent there are requests for student-athlete guest complimentary admissions that deviate from this policy or process in any way, those complimentary admissions will require approval from the Ticket Office, in consultation with the Compliance Office.

Process:

- 1. The student-athlete will add an individual as a guest in the compliance software system.
- 2. The Compliance Office will review the guest under the guidelines described in the policy above.
 - a. The Compliance Office may request additional information to determine whether to approve or deny the guest.
 - b. If denied, the guest will be added to a denied guest list and will not be provided complimentary admissions.
- 3. If approved, the guest will be added to the approved guest list. The guest will remain on a student-athlete's approved guest list and will not need to be approved again.

[Note: The Compliance Office may remove guests from the approved guest list if the Compliance Office determines the guest is not permitted to receive complimentary admissions under the above policy (e.g., Compliance Office discovers additional information that would prohibit the guest from receiving admissions, previously approved guest repeatedly refuses to follow this policy and process)].

- 4. Before a competition, a student-athlete must complete a request for complimentary admissions to that specific competition by adding approved guests from the student-athlete's approved guest list to the individual game list.
- 5. Student-athlete guests will sign in at the competition.